











Annex Deliverable 9. Ethics and Security for BioRES Project

Data protection procedures and compliance with EU and national regulations

Privacy concerns any data which, either alone or when linked to other, relate to an identifiable individual or individuals. If such information is collected, then the data is subject to the relevant EU data protection standards. The collection and use of individual data & more generally private information will be reduced to a minimum on a "need to use basis" maintaining data confidentiality. Data will be circulated between different users within a multi-actor project. All participating partners need to ensure legal requirements surrounding the use of private data according to EU rules and standards are also implemented by subcontractors and will be specified in the contracts.

As project coordinator GIZ advised all partners to follow the consent and provisions obeying Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data and on the free movement of such data. For GIZ, privacy and maintaining data confidentiality is a value in itself which is represented to partners and projects worldwide.

Moreover partners are advised to comply with the individual national law towards data protection. Partners outside EC Member States (e.g. Serbia) are particularly advised to comply with the Regulation (EC) No. 45/2001. GIZ will particularly stress article 39 – Processing of Personal Data – of the grant agreement in the communication with the consortia partners.

According to national law (German Federal Data Protection Act, §4) GIZ is equipped with a specialized data protection officer. For the handling of personal data GIZ has implemented a data protection management system which contains strict data protection guidelines for the elicitation, handling, proceeding, storage and publication of data and data processing results were developed.

These guidelines are mandatory for GIZ and will be reflected in partner agreements of the consortia. The guidelines were developed under accordance with the national and supranational legal regulations mentioned above.



The GIZ data protection guidelines include the following principals:

- a) Collection and Recording of personal data
- b) Deletion of personal data
- c) Retention of collections of personal data
- d) Authorization system for the access to personal data
- e) Data security
- f) Transfer to third parties
- g) Processing of data by others on behalf of GIZ (outsourcing)
- h) Obligation of confidentiality

Partners of the BioRES project were required to obtain in written form, e.g. at the registration process of workshops etc.:

- a) Explicit consent from participants about collection of personal data, with sufficient detail describing the purpose of the data collection,
- b) Prior Consent allowing the processing of data for generating project records, monitoring and published output.

Concerning the processing, storage and publishing the lead partner of the consortium will make sure that the following aspects will be discussed:

- a) Data storage (for processing and archiving purposes; time length)
- b) Data sharing
- c) Data publication (concerning the potential of the data for researcher of other projects and the possibility that the publically funded dataset may be available as part of an open access publication or dataset).

Ethics issues analysis and security

Before starting the project, all legal requirements regarding ethical issues under national law will be fulfilled. The Consortium Partners will assess health and safety issues of individual experts and take appropriate measures to ensure good health and safety conditions.

Benefit sharing

BioRES's approach is to share all project findings.